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DAE

**In The United States Patent And Trademark Office**

**Request for Abandonment and Revival**

August 15, 2003

Appn. Number: 09/977,179

Appn. Filed: October 15, 2001

Applicant: C. Spadafora, C. Hodgson, R. Lorenzini

Title: RNA TRANSFECTION OF SPERM CELLS

Art Unit: 1645

Examiner: NONE ASSIGNED

Assistant Commissioner for Patents  
Washington, District of Columbia 20231  
Fax: 703 746-4060

Sir or Madam

In regard to the above application:

- 1) Applicant submitted application, with credit card payment form authorizing payment for, "All fees related to new utility patent application;"
- 2) A filing receipt, confirmation number 2856, was sent to the applicant on 11/16/01;
- 3) Applicant failed to observe notice to file missing parts sent with confirmation;
- 4) Notice to file missing parts stated that applicant must submit \$15, plus \$65 for small entity in compliance with 37 CFR1.27;

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- 5) Balance due is \$80 – credit card authorization was not used by PTO to pay difference;
- 6) Extension deadline has passed.

Due to the above circumstances, applicant has discussed the matter informally with the PTO staff, and the suggestion was made to abandon the application, and then request revival. Applicant therefore: 1) requests abandonment of the original application; and 2) requests revival from abandonment.

A copy of the original formalities letter, confirmation number 2856, is enclosed. Please feel free to call me to discuss this matter if the above suggested solution is not appropriate.

Respectfully submitted,

Clague Hodgson

-----Applicant Pro Se-----

Nature Technology Corporation,  
4701 Innovation Dr.  
Lincoln, NE 68521  
Phone: (402) 472-6530  
Fax: (402) 472-6532  
Email: [Hodgson@natx.com](mailto:Hodgson@natx.com)

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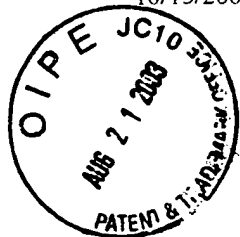


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/977,179	10/15/2001	Corrado Spadafora	

Clague P. Hodgson  
Nature Technology Corp.  
4701 Innovation Drive  
Lincoln, NE 68521



CONFIRMATION NO. 2856

## FORMALITIES LETTER



\*OC00000007081543\*

Date Mailed: 11/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is insufficient.  
*Applicant must submit \$ 15 to complete the basic filing fee for a small entity.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is **\$ 80.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Fax (703) 746-4060

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6/27/03 did not work CH

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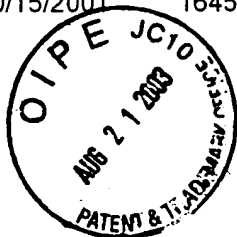


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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/977,179	10/15/2001	1645	355		5	19	3

Clague P. Hodgson  
Nature Technology Corp.  
4701 Innovation Drive  
Lincoln, NE 68521



CONFIRMATION NO. 2856

## FILING RECEIPT



\*OC000000007081542\*

Date Mailed: 11/16/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Corrado Spadafora, Rome, ITALY;  
Clague Pitman Hodgson, Omaha, NE;  
Rodolfo N. Lorenzini, Rome, ITALY;

Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 11/15/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

RNA transfection of sperm cells

Preliminary Class

435

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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Initial Patent Exam. Div.

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